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Item 2(a) of the provisional agenda
Organizational matters
Adoption of the agenda

Provisional agenda and annotations

Note by the Executive Secretary

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[1] At the thirty-ninth session of the Subsidiary Body for Implementation (SBI), there was no consensus to include this item on the agenda. It was therefore held in abeyance. On a proposal by the Chair, the SBI decided to include this item on the provisional agenda of SBI 40.

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II. Annotations to the provisional agenda

1. Opening of the session

1. The fortieth session of the Subsidiary Body for Implementation (SBI) will be opened by the Chair, Mr. Amena Yauvoli (Fiji), on Wednesday, 4 June 2014, at 10 a.m.

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

(b) Organization of the work of the session

3. *Background*: SBI 40 will be held from 4 to 15 June 2014. Detailed information on the organization of the work of the session will be posted on the SBI 40 web page.[2] Delegates are invited to consult this page and to refer to the overview schedule[3] and the in-session Daily Programme and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBI. Items not concluded at SBI 40 will be forwarded for consideration at subsequent sessions.

4. The following workshops and events are mandated to take place in conjunction with the session:

(a) A workshop on the nationally appropriate mitigation action (NAMA) work programme;[4]

(b) The 3rd meeting of the Durban Forum on capacity-building;[5]

(c) The 2nd Dialogue on Article 6 of the Convention;[6]

(d) The 3rd meeting of the structured expert dialogue on the 2013–2015 review;[7]

(e) A special event of the Adaptation Committee to showcase its activities and to engage in a dialogue with Parties and other relevant stakeholders.[8]

5. *Action*: The SBI will be invited to agree on the organization of the work of the session.

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[2] <www.unfccc.int/8014>.
[3] <www.unfccc.int>.
[4] See paragraph 20 below.
[5] See paragraphs 57 and 61 below.
[6] See paragraph 65 below.
[7] See paragraph 78 below.
[8] FCCC/SB/2013/2, annex II.

FCCC/SBI/2014/1	*Provisional agenda and annotations. Note by the Executive Secretary*
Further information	*<www.unfccc.int/8014>*

3. Reporting and review of Parties included in Annex I to the Convention

(a) Status of submission and review of sixth national communications and first biennial reports from Parties included in Annex I to the Convention

6. *Background*: the Conference of the Parties (COP), at COP 16, decided that Parties included in Annex I to the Convention (Annex I Parties) should enhance reporting in national communications (NCs) and submit biennial reports (BRs) which outline progress in achieving emission reductions and the provision of financial, technology and capacity-building support to Parties not included in Annex I to the Convention (non-Annex I Parties), building on existing reporting and review guidelines, processes and experiences.[9]

7. Developed country Parties were requested to submit to the secretariat, by 1 January 2014, a sixth NC (NC6) and a first BR (BR1).[10] As at 13 March 2014, the secretariat had received 38 NC6s, 36 BR1s and 38 BR1 common tabular format submissions and has coordinated four review weeks of NC6s and BR1s.

8. *Action*: The SBI will be invited to take note of the status of the submission and review of NC6s and BR1s.

FCCC/SBI/2014/INF.5	*Status of submission and review of sixth national communications and first biennial reports. Note by the secretariat*
Further information	*<www.unfccc.int/7742>, <www.unfccc.int/7550>*

(b) Revision of the "Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications"

9. *Background*: COP 17 invited[11] Annex I Parties to submit their views[12] on their experience in preparing their BR1s and requested SBI 40 to begin the revision of the "Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications", based on the experiences gained in preparing BR1s and other information. Revised guidelines would then be adopted at COP 20.[13]

10. *Action*: The SBI will initiate work on this matter to meet the COP 20 deadline.

Further information	*<www.unfccc.int/1095>*

(c) Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period

[9] Decision 1/CP.16, paragraph 40.
[10] Decisions 9/CP.16 and 2/CP.17, paragraph 13, respectively.
[11] Decision 2/CP.17, paragraph 17.
[12] As soon as submissions are received, they become available on the submission portal at <www.unfccc.int/5900>.
[13] Decision 2/CP.17, paragraph 18.

11. *Background*: The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), at CMP 9, referred consideration of this item[14] to the SBI, which, at SBI 39, initiated and agreed to continue its consideration at SBI 40, taking into account the draft text contained in the annex to document FCCC/SBI/2013/L.13.[15]

12. *Action*: The SBI will be invited to conclude its consideration of the date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period, and prepare a draft decision on this matter for consideration and adoption at CMP 10.

4. Reporting from Parties not included in Annex I to the Convention

(a) Information contained in national communications from Parties not included in Annex I to the Convention

13. *Background*: At SBI 24, some Parties proposed that, in accordance with Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by non-Annex I Parties in all of their national communications.[16]

14. *Action*: The SBI will be invited to provide guidance on how to further develop the process for considering the information contained in national communications from non-Annex I Parties, taking into consideration the parts of decision 2/CP.17 on biennial update reports and international consultation and analysis.

(b) Provision of financial and technical support

15. *Background*: The Global Environment Facility (GEF), as an operating entity of the financial mechanism of the Convention, provides financial support for the preparation of NCs and biennial update reports (BURs) from non-Annex I Parties.

16. As invited at SBI 39,[17] the GEF will provide information on its activities relating to the preparation of BURs, including information on the dates of the request for funding, approval of funding, disbursement of funds, as well as an approximate date of submission to the secretariat of BURs, for consideration at SBI 40.

17. *Action*: The SBI will be invited to consider the information contained in the document prepared for the session and to make recommendations to the GEF.

FCCC/SBI/2014/INF.7	*Information provided by the Global Environment Facility on its activities relating to the preparation of biennial update reports. Note by the secretariat*
Further information	*<www.unfccc.int/6921>*

5. Work programme to further the understanding of the diversity of nationally appropriate mitigation actions

18. *Background*: As part of the 2013–2014 work programme to further the understanding of the diversity of NAMAs,[18] and as requested at SBI 39,[19] the secretariat

[14] FCCC/KP/CMP/2013/9, paragraph 87.
[15] FCCC/SBI/2013/20, paragraph 214.
[16] FCCC/SBI/2006/11, paragraph 32.
[17] FCCC/SBI/2013/20, paragraph 34.
[18] Decision 1/CP.18, paragraph 19.
[19] FCCC/SBI/2013/20, paragraph 51.

will organize, in 2014, focused interactive technical discussions, including through one in-session workshop per session, on the issues listed in the report on SBI 39.

19. As requested at SBI 39,[20] the secretariat will report at SBI 40 and 41 on the extent of the matching of mitigation actions with financial, technical and capacity-building support under the NAMA registry, and will provide an opportunity for Parties that wish to do so to share experiences with seeking support for NAMAs.

20. *Action*: A workshop will be held to address the issues referred to in paragraph 18 above.[21] Parties will be invited to take part in the workshop and to consider the information contained in the documents prepared for the session.[22] The SBI will be invited to discuss the reporting of the outcomes of the work programme at COP 20, pursuant to decision 1/CP.18, paragraph 21.

FCCC/SBI/2014/INF.1	*Report on the first workshop under the work programme to further the understanding of the diversity of nationally appropriate mitigation actions. Note by the secretariat*
FCCC/SBI/2014/INF.2	*The extent of the matching of mitigation actions with financial, technical and capacity-building support under the registry of nationally appropriate mitigation actions. Note by the secretariat*
Further information	*<www.unfccc.int/7728>*

6. Matters relating to the mechanisms under the Kyoto Protocol

(a) Review of the modalities and procedures for the clean development mechanism

21. *Background*: CMP 1 adopted the modalities and procedures for the clean development mechanism (CDM).[23] As requested at CMP 9,[24] the secretariat, drawing on the discussions that took place at SBI 39, has prepared a technical paper on issues relating to possible changes to the modalities and procedures for the CDM, including their implications, for consideration at SBI 40.

22. As invited at CMP 9,[25] Parties and admitted observer organizations can submit their views on suggested changes to the modalities and procedures for the CDM,[26] taking into account the implications elaborated in the technical paper referred to in paragraph 21 above.

23. SBI 39 agreed to continue its work on this matter at SBI 40,[27] taking into account a consolidated list of suggested changes to the modalities and procedures for the CDM.[28]

[20] FCCC/SBI/2013/20, paragraph 52.
[21] Detailed information on this event will be provided on the UNFCCC website.
[22] Another document in front of the SBI: FCCC/SBI/2013/INF.12/Rev.2.
[23] Decisions 3/CMP.1, annex; 4/CMP.1, annex II; 5/CMP.1, annex; and 6/CMP.1, annex.
[24] Decision 4/CMP.9, paragraph 1.
[25] Decision 4/CMP.9, paragraph 2.
[26] As footnote 12 above.
[27] FCCC/SBI/2013/20, paragraph 66.
[28] List contained in a note prepared by, and under the responsibility of, the co-chairs of this agenda sub-item at SBI 39. Available at <http://unfccc.int/7871.php> under agenda sub-item 7(a).

24. *Action*: The SBI will be invited to consider the information contained in the document prepared for the session, to conclude its work on this matter and to prepare a draft decision for consideration and adoption at CMP 10.

FCCC/TP/2014/1	*Possible changes to the modalities and procedures for the clean development mechanism. Technical paper*
Further information	*<www.unfccc.int/1673>, <http://cdm.unfccc.int/>*

(b) Review of the joint implementation guidelines

25. *Background*: CMP 1 adopted the guidelines for the implementation of Article 6 of the Kyoto Protocol (joint implementation (JI)).[29] In accordance with decision 6/CMP.8, SBI 39 initiated the review of these guidelines and agreed to continue its consideration of this matter at SBI 40,[30] on the basis of the draft text proposed by the co-chairs of the consultations.[31]

26. As requested at CMP 8,[32] the secretariat compiled a report[33] on possible changes to the JI guidelines, drawing on the recommendations of the Joint Implementation Supervisory Committee (JISC) in its annual reports to CMP 7 and 8, the submissions by Parties to CMP 7 and 8, and the experience gained by the JISC and stakeholders in the implementation of JI.

27. *Action*: The SBI will be invited to conclude its review of the JI guidelines and prepare a draft decision for consideration and adoption at CMP 10.

Further information	*<www.unfccc.int/1673>*

(c) Synergy relating to accreditation under the mechanisms of the Kyoto Protocol

28. *Background*: As requested at CMP 9,[34] the JISC will prepare recommendations on the JI accreditation system aligned with that of the CDM, taking into consideration paragraph 15(b) of decision 6/CMP.8, for consideration at SBI 40.

29. *Action*: The SBI will be invited to consider the JISC recommendations and to prepare conclusions for consideration at CMP 10.

FCCC/SBI/2014/5	*Recommendation of the Joint Implementation Supervisory Committee on the accreditation system for joint implementation aligned with that of the clean development mechanism. Note by the secretariat*
Further information	*<www.unfccc.int/1673>*

(d) Modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units

30. *Background*: Under the current guidance,[35] an Annex I Party with commitments inscribed in Annex B to the Kyoto Protocol may issue, transfer and acquire emission

[29] Decision 9/CMP.1.
[30] FCCC/SBI/2013/20, paragraph 72.
[31] The draft text is available at <http://unfccc.int/7871.php> under agenda sub-item 7(b).
[32] Decision 6/CMP.8, paragraph 13.
[33] FCCC/SBI/2013/INF.3.
[34] Decision 5/CMP.9, paragraph 5.
[35] Decision 9/CMP.1, annex.

reduction units (ERUs) only after its assigned amount has been calculated and recorded, and its assigned amount units and removal units have been issued. As requested at CMP 8,[36] SBI 39 started to consider modalities for expediting the continued issuance, transfer and acquisition of ERUs under Article 6 of the Kyoto Protocol for the second commitment period. SBI 39 agreed to continue its work on this matter at SBI 40,[37] on the basis of the draft text proposed by the co-chairs of the relevant informal consultations.[38]

31. *Action*: The SBI will be invited to conclude its consideration of this matter, including of how ERUs for the second commitment period can be issued in the absence of an established assigned amount for the second commitment period, and recommend a draft decision on the matter for consideration and adoption at CMP 10.

Further information	*<www.unfccc.int/1673>*

(e) Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism

32. *Background*: CMP 6 requested[39] the SBI to make recommendations for consideration and adoption at CMP 7 on procedures, mechanisms and institutional arrangements under the CMP to allow for appeals against decisions of the CDM Executive Board, taking into account the recommendations of the Executive Board contained in annex II to its annual report.

33. SBI 37 agreed to continue its consideration of this matter on the basis of the draft text contained in document FCCC/SBI/2012/33/Add.1. At SBI 39, on a proposal by the Chair, the consideration of this sub-item was deferred to SBI 40.[40]

34. *Action*: The SBI will be invited to conclude its consideration and prepare a draft decision for consideration and adoption at CMP 10.

Further information	*<http://cdm.unfccc.int/>*

(f) Matters relating to the international transaction log under the Kyoto Protocol

35. *Background*: SBI 37 took note of the 2012 annual report of the administrator of the international transaction log (ITL) under the Kyoto Protocol[41] and agreed to continue its consideration of the recommendations contained in paragraph 58(b) and (c) of the report. As requested at SBI 39, the ITL administrator and the security working group under the registry system administrators forum will present options for, and a road map to, information security implementation in registry systems, for consideration at SBI 40.[42]

36. *Action*: The SBI will be invited to consider the information contained in the document prepared for the session and to conclude its consideration of the matters referred to in paragraph 35 above, with a view to taking any further action, as appropriate.

FCCC/SBI/2014/INF.6	*Options for, and road map to, information security implementation in the registry system. Note by the administrator of the international transaction log*

[36] Decision 1/CMP.8, paragraph 16.
[37] FCCC/SBI/2013/20, paragraph 76.
[38] The draft text is available at <http://unfccc.int/7871.php> under agenda sub-item 7(c).
[39] Decision 3/CMP.6, paragraph 18.
[40] FCCC/SBI/2013/20, paragraph 81.
[41] FCCC/KP/CMP/2012/8.
[42] FCCC/SBI/2013/20, paragraph 83.

Further information	*<www.unfccc.int/4065>*

7. Matters relating to the least developed countries

37. *Background*: SBI 39 welcomed the Least Developed Countries Expert Group (LEG) work programme for 2013–2014,[43] which includes outreach activities and regional training workshops on national adaptation plans (NAPs). The SBI encouraged the LEG to maintain flexibility in the implementation of its work programme, taking into account the availability of resources.[44]

38. The 25th meeting of the LEG took place in Dar es Salaam, United Republic of Tanzania, on 27 and 28 February.

39. *Action*: The SBI will be invited to consider the report prepared for the session with a view to taking further action, as appropriate.

FCCC/SBI/2014/4	*Report on the 25th meeting of the Least Developed Countries Expert Group. Note by the secretariat*
Further information	*<www.unfccc.int/7504>, <www.unfccc.int/7568>*

8. National adaptation plans

40. *Background*: COP 19 welcomed the technical guidelines for the NAP process, which will assist the least developed country (LDC) Parties, and which may also be used by other Parties. It also welcomed the establishment of the NAP global support programme[45] for facilitating technical support to the LDC Parties.[46]

41. As invited at COP 19,[47] United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, can submit[48] information on how they are establishing or enhancing support programmes for the NAP process in developing country Parties that are not LDCs. Parties and relevant organizations can also submit[49] information on their experience with the application of the initial guidelines for the formulation of NAPs, as well as any other information relevant to the formulation and implementation of NAPs, for consideration at SBI 40.[50]

42. SBI 39 noted that LDC Parties can start to access resources from the Least Developed Countries Fund and the Special Climate Change Fund in support of the NAP process through existing GEF modalities.[51]

43. *Action*: The SBI will be invited to consider the initial guidelines for the formulation of NAPs and the documents prepared for the session in preparing recommendations for consideration and adoption at COP 20.

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[43] FCCC/SBI/2013/8, annex II.
[44] FCCC/SBI/2013/20, paragraph 97.
[45] Implemented by the United Nations Development Programme and the United Nations Environment Programme in partnership with other organizations and agencies.
[46] Decision 18/CP.19, paragraphs 2 and 3.
[47] Decision 18/CP.19, paragraph 5.
[48] As footnote 12 above.
[49] As footnote 12 above.
[50] Decision 18/CP.19, paragraph 6.
[51] FCCC/SBI/2013/20, paragraphs 109 and 110.

FCCC/SBI/2014/4	*Report on the 25th meeting of the Least Developed Countries Expert Group. Note by the secretariat*
FCCC/SBI/2014/MISC.1	*Experience with the application of the initial guidelines for the formulation of the national adaptation plans. Submissions from Parties and relevant organizations*
Further information	*<www.unfccc.int/7500>, <unfccc.int/7279>*

9. Warsaw international mechanism for loss and damage associated with climate change impacts

44. *Background*: COP 19 established the following:

(a) The Warsaw international mechanism for loss and damage,[52] and decided that it shall fulfil the role of promoting the implementation of approaches to address loss and damage associated with the adverse effects of climate change, pursuant to decision 3/CP.18, in a comprehensive, integrated and coherent manner by undertaking, inter alia, the functions outlined in decision 2/CP.19, paragraph 5;[53]

(b) An executive committee of the Warsaw international mechanism to guide the implementation of the functions of the mechanism,[54] and requested the committee to develop its initial two-year workplan for the implementation of those functions, for consideration by the subsidiary bodies at their forty-first sessions.[55]

45. As requested by the COP, the subsidiary bodies will consider the composition of, and procedures for, the executive committee and make recommendations thereon for adoption at COP 20.[56] As an interim measure, the COP decided that the executive committee shall consist of two representatives each from the Adaptation Committee, the LEG, the Standing Committee on Finance, the Technology Executive Committee (TEC) and the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention.[57]

46. *Action*: The subsidiary bodies may wish to initiate their consideration of the composition of, and procedures for, the executive committee, with a view to making recommendations thereon for consideration and adoption at COP 20.

Further information	*<www.unfccc.int/7545>, <www.unfccc.int/6056>*

10. Second review of the Adaptation Fund

47. *Background*: In accordance with its terms of reference,[58] the objective of the second review is to ensure the effectiveness, sustainability and adequacy of the operation of the Adaptation Fund. To assist the CMP in adopting an appropriate decision on this matter at CMP 10, and as requested at CMP 9, SBI 40 will consider the second review of the

[52] Decision 2/CP.19, paragraph 1.
[53] Decision 2/CP.19, paragraph 5.
[54] Decision 2/CP.19, paragraph 2.
[55] Decision 2/CP.19, paragraph 9.
[56] Decision 2/CP.19, paragraph 10.
[57] Decision 2/CP.19, paragraph 4. The initial meeting of the executive committee is scheduled to take place from 25 to 28 March 2014.
[58] Decision 2/CMP.9, annex.

Adaptation Fund.[59] As invited at CMP 9,[60] Parties, observer organizations and other interested international organizations, stakeholders and non-governmental organizations involved in the activities of the Adaptation Fund, as well as implementing entities accredited by the Adaptation Fund Board, can submit their views on the review of the Adaptation Fund.[61]

48. *Action*: The SBI will be invited to continue its consideration of the second review of the Adaptation Fund and to make recommendations on this matter for consideration and adoption at CMP 10.

Further information	*<www.unfccc.int/3659>*

11. Development and transfer of technologies and implementation of the Technology Mechanism

(a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2013

49. *Background*: As requested at COP 17, the TEC and the Climate Technology Centre and Network (CTCN) prepared a joint annual report[62] for consideration by the COP through the subsidiary bodies.[63]

50. At their thirty-ninth sessions, the SBI and the Subsidiary Body for Scientific and Technological Advice (SBSTA) were unable to conclude their consideration of the joint annual report of the TEC and the CTCN. In this context, as requested at COP 19, the SBI and the SBSTA will continue consideration of the joint annual report at their fortieth sessions, with a view to recommending a draft decision on this matter for consideration and adoption at COP 20.[64]

51. *Action*: The SBI and the SBSTA will be invited to conclude their consideration of the joint annual report for 2013 and to recommend a draft decision for consideration and adoption at COP 20.

Further information	*< www.unfccc.int/7000>*

(b) Poznan strategic programme on technology transfer

52. *Background*: As invited at SBI 34,[65] the GEF has been providing reports on the progress made in carrying out its activities under the Poznan strategic programme on technology transfer, for consideration at SBI sessions, for the duration of the programme.

53. As invited at SBI 39,[66] the GEF will consult with the Advisory Board of the CTCN on the support the GEF will provide for the work of the CTCN, and will report on the concrete results of the consultations at SBI 40.

[59] Decision 2/CMP.9, paragraph 5.
[60] Decision 2/CMP.9, paragraph 3.
[61] As footnote 12 above.
[62] FCCC/SB/2013/1.
[63] Decision 2/CP.17, paragraphs 142 and 143.
[64] FCCC/CP/2013/10, paragraph 75.
[65] FCCC/SBI/2011/7, paragraph 137.
[66] FCCC/SBI/2013/20, paragraph 137.

54. *Action*: The SBI will be invited to consider the information in the report of the GEF on the progress of the Poznan strategic programme and the consultations with the CTCN, with a view to determining further action.

FCCC/SBI/2014/INF.3	*Report of the Global Environment Facility on the progress made in carrying out the Poznan strategic programme on technology transfer. Note by the secretariat*
Further information	*<http://www.thegef.org/gef/TT_poznan_strategic_program>*

12. Capacity-building

(a) Capacity-building under the Convention

55. *Background*: As requested by the COP,[67] the secretariat has been providing annual reports on activities to implement the framework for capacity-building in developing countries. COP 18 invited Parties, intergovernmental and non-governmental organizations and the private sector to submit information on activities undertaken in support of the implementation of the framework for capacity-building in developing countries.[68]

56. As agreed at SBI 39,[69] where no conclusion was reached on capacity-building for developing countries under the Convention, SBI 40 will continue consideration of the matter on the basis of the draft decision text contained in the annex to document FCCC/SBI/2013/L.19.[70]

57. The 3rd meeting of the Durban Forum on capacity-building will be held during SBI 40. As invited at SBI 39,[71] Parties can submit their views on specific thematic issues relating to capacity-building under the Convention, for consideration at this meeting, as well as their views on the organization of the meeting.[72]

58. *Action*: The SBI will be invited to conclude its consideration of this matter and to recommend a draft decision, including on potential ways to further enhance the implementation of capacity-building at the national level, for consideration and adoption at COP 20. Interested Parties and organizations are invited to participate in, and actively contribute to, the 3rd meeting of the Durban Forum.

FCCC/SBI/2014/7	*Synthesis report on capacity-building work undertaken by bodies established under the Convention and its Kyoto Protocol. Note by the secretariat*
FCCC/SBI/2014/2	*Synthesis report on the implementation of the framework for capacity-building in developing countries. Note by the secretariat*
FCCC/SBI/2014/2/Add.1	*Synthesis report on the implementation of the framework for capacity-building in developing countries. Addendum. Capacity-building activities undertaken by United Nations organizations and other institutions*
FCCC/SBI/2014/MISC.2	*Views on specific issues to be considered at the 3rd meeting*

[67] Decisions 2/CP.7, paragraph 9(c), and 4/CP.12, paragraph 1(c).
[68] Decision 1/CP.18, paragraphs 75(a) and 76.
[69] FCCC/SBI/2013/20, paragraph 142.
[70] Another document in front of the SBI: FCCC/SBI/2013/12.
[71] FCCC/SBI/2013/20, paragraph 143.
[72] As footnote 12 above.

	of the Durban Forum and on the potential enhancement of its organization, and information on activities undertaken to implement the framework for capacity-building in developing countries. Submissions from Parties
Further information	*<www.unfccc.int/1033>, <www.unfccc.int/7060>*

(b) Capacity-building under the Kyoto Protocol

59. *Background*: As invited at CMP 2, Parties can submit annually information on activities undertaken to implement decision 29/CMP.1. Multilateral and bilateral agencies and the private sector are also invited to provide reports on their support to the implementation of the framework for capacity-building in developing countries, as relates to the Kyoto Protocol. As requested at CMP 2,[73] the secretariat prepared a synthesis report based on the information referred to above and information on the activities of the CDM Executive Board relating to the regional distribution of CDM project activities and related capacity-building.

60. As agreed at SBI 39,[74] where no conclusion was reached on capacity-building for developing countries under the Kyoto Protocol, SBI 40 will continue consideration of the matter on the basis of the draft decision text contained in the annex to document FCCC/SBI/2013/L.18/Rev.1.[75]

61. The 3rd meeting of the Durban Forum on capacity-building will be held during SBI 40. As invited at SBI 39,[76] Parties can submit their views on specific thematic issues relating to capacity-building under the Kyoto Protocol, for consideration at this meeting, as well as their views on the organization of that meeting.[77]

62. *Action*: The SBI will be invited to conclude its consideration of this matter[78] and to recommend a draft decision for consideration and adoption at CMP 10. Interested Parties and organizations are invited to participate in, and actively contribute to, the 3rd meeting of the Durban Forum.

13. Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties

63. *Background*: As requested at COP 18,[79] the secretariat prepared a technical paper[80] identifying opportunities for Annex I Parties whose special circumstances are recognized by the COP to benefit, at least until 2020, from support from relevant bodies and institutions to enhance mitigation, adaptation, technology, capacity-building and access to finance. SBI 39 initiated but did not conclude its consideration of this matter and agreed to continue it at SBI 40.[81]

64. *Action*: The SBI will be invited to conclude its consideration of this matter and to prepare a draft decision for consideration and adoption at COP 20.

[73] Decision 6/CMP.2, paragraph 1.
[74] FCCC/SBI/2013/20, paragraph 146.
[75] Another document in front of the SBI: FCCC/SBI/2013/12.
[76] FCCC/SBI/2013/20, paragraph 147.
[77] As footnote 12 above.
[78] The SBI will be invited to consider the documents and further information listed under sub-item 12(a).
[79] Decision 1/CP.18, paragraph 95.
[80] FCCC/TP/2013/3.
[81] FCCC/SBI/2013/20, paragraphs 175 and 176.

14. Article 6 of the Convention

65. *Background*: The 2nd Dialogue on Article 6 of the Convention will be convened during SBI 40, with a focus on public awareness, public participation, public access to information and international cooperation on these matters. SBI 39 concluded that it will consider the issue of subsequent meetings of the Dialogue on Article 6 of the Convention, with a view to agreeing on this matter at SBI 40.[82]

66. As requested at SBI 39, the secretariat has prepared a report on good practices of stakeholder participation in implementing Article 6 of the Convention, for consideration at SBI 40. The document will be further used as a background document for the 2nd Dialogue on Article 6 of the Convention.[83]

67. *Action*: The SBI will be invited to consider the information contained in the document prepared for the session and to agree on any further action. Interested Parties and organizations as well as the media are invited to participate in and actively contribute to the 2nd Dialogue on Article 6 of the Convention.

FCCC/SBI/2014/3	*Report on good practices of stakeholder participation in implementing Article 6 of the Convention. Note by the secretariat*
Further information	*<www.unfccc.int/2529>*

15. Impact of the implementation of response measures

(a) Forum and work programme

68. *Background*: COP 17 launched a work programme on the impact of the implementation of response measures under the subsidiary bodies.[84] It established a forum to implement the work programme and to provide a platform allowing Parties to share information, experiences, case studies, best practices and views.[85]

69. SBI 37 and SBSTA 37 agreed to consider all presentations, statements made by Parties, organizations and experts, and reports[86] on meetings of the forum at SBI 39 and SBSTA 39, during the review of the work of the forum, with a view to providing recommendations to COP 19.[87]

70. SBI 39 and SBSTA 39 could not conclude their consideration of the review of the work of the forum and submitted the proposals by Parties for consideration at COP 19. As no agreement could be reached by the COP,[88] it requested the SBI and the SBSTA to continue consideration of this sub-item at their fortieth sessions.

71. *Action*: The SBI and the SBSTA will be invited to complete their consideration on the matters referred to in paragraph 69 above, with a view to providing recommendations to COP 20 on the review of the work of the forum, including the need for its continuation.

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[82] FCCC/SBI/2013/20, paragraph 198.
[83] FCCC/SBI/2013/20, paragraph 200(b).
[84] Decision 8/CP.17, paragraph 1.
[85] Decision 8/CP.17, paragraph 3.
[86] Reports are contained in documents FCCC/SB/2013/INF.2, FCCC/SB/2013/INF.3, FCCC/SB/2013/INF.4, FCCC/SB/2013/INF.8, FCCC/SB/2013/INF.9, FCCC/SB/2013/INF.10 and FCCC/SB/2013/INF.11.
[87] FCCC/SBI/2012/33, paragraph 106, and FCCC/SBSTA/2012/5, paragraph 60.
[88] FCCC/CP/2013/10, paragraphs 49 and 57.

FCCC/SB/2014/INF.1	*Report on the in-forum workshop on area (b) of the work programme on the impact of the implementation of response measures. Note by the Chairs of the subsidiary bodies*
Further information	*<www.unfccc.int/4908>*

(b) Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

72. *Background*: SBI 39 agreed to consider this matter jointly with the SBI and SBSTA agenda sub-items "Forum and work programme" in a joint SBI/SBSTA forum. SBI 39 agreed to continue consultations at SBI 40 on how to take up this matter.[89]

73. *Action*: The SBI will be invited to agree on how to take up this matter.

(c) Progress on the implementation of decision 1/CP.10

74. *Background*: SBI 39 agreed to consider this matter jointly with the SBI and SBSTA agenda sub-items "Forum and work programme" in a joint SBI/SBSTA forum. SBI 39 agreed to continue consultations at SBI 40 on how to take up this matter.[90]

75. *Action*: The SBI will be invited to agree on how to take up this matter.

16. The 2013–2015 review

76. *Background*: At the invitation of COP 18, the SBI and the SBSTA established a joint contact group to assist the COP in conducting the 2013–2015 review, which is supported by a structured expert dialogue (SED) between Parties and experts, established by the COP to ensure the scientific integrity of this review.[91]

77. SBI 39 and SBSTA 39 welcomed[92] the start of the review and the organization of the 1st and 2nd meetings of the SED,[93] and invited Parties to submit their views on:

(a) The future work of the SED, including the further use of different sources of information;[94]

(b) How the outcomes of the review will inform the work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP).[95]

78. As requested by the subsidiary bodies,[96] the co-facilitators of the SED will organize the 3rd meeting of the SED in conjunction with the fortieth[97] sessions of the subsidiary bodies to continue to assess the adequacy of the long-term global goal and the progress made towards achieving it[98] on the basis of the contributions of Working Groups II and III

[89] FCCC/SBI/2013/20, paragraph 154.
[90] FCCC/SBI/2013/20, paragraph 156.
[91] Decision 1/CP.18, paragraphs 80, 85 and 86.
[92] FCCC/SBI/2013/20, paragraphs 162 and 163.
[93] Information on the 2nd meeting of the SED, including the agenda, presentations and the summary report, is available at <www.unfccc.int/7521>.
[94] FCCC/SBI/2013/20, paragraph 169. For the sources of information for the review, see decision 2/CP.17, paragraph 161.
[95] FCCC/SBI/2013/20, paragraph 170. The ADP welcomed the conclusions of SBI 39 and SBSTA 39 on the 2013–2015 review (FCCC/ADP/2013/3, paragraph 31).
[96] FCCC/SBI/2013/20, paragraph 133.
[97] Please consult the Daily Programme for an up-to-date schedule and more information on this meeting.
[98] Decision 1/CP.18, paragraph 79.

to the Intergovernmental Panel on Climate Change Fifth Assessment Report (AR5),[99] other inputs as listed in decision 2/CP.17, paragraph 161, and the views of Parties mentioned in paragraph 77 above.[100]

79. *Action*: The SBI and the SBSTA will be invited to consider this matter and take possible further steps, including on providing further guidance to the SED, capturing the outcomes of the review[101] and informing the work of the ADP,[102] as appropriate.

FCCC/SB/2014/MISC.1	*Views on the future work of the structured expert dialogue, including the further use of different sources of information. Submissions from Parties*
FCCC/SB/2014/MISC.2	*Views on how the outcomes of the 2013–2015 review will inform the work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action. Submissions from Parties*
Further information	*<www.unfccc.int/6998>, <www.unfccc.int/7521>*

17. Arrangements for intergovernmental meetings

80. *Background*: COP 19 accepted with appreciation the offer by the Government of Peru to host COP 20 and CMP 10 in Lima, Peru, from Monday, 1 December, to Friday, 12 December 2014.[103] It is expected that a Host Country Agreement will be concluded by the end of the fortieth sessions of the subsidiary bodies. Information on the organization of COP 20 and CMP 10, as well as information to assist in planning for future sessional periods and the organization of the intergovernmental process, is contained in document FCCC/SBI/2014/6. As SBI 38 could not agree on the adoption of its agenda, issues that were to be considered under this item are being proposed for consideration at this session, including the requested update on participation by observer organizations.[104, 105]

81. *Action*: The SBI will be invited to consider the information contained in the document prepared for the session and, in particular, to provide further guidance to the host government, Parties and the secretariat on the organization of COP 20 and CMP 10.

FCCC/SBI/2014/6	*Arrangements for intergovernmental meetings. Note by the Executive Secretary*

18. Administrative, financial and institutional matters

(a) Financial matters

82. *Background*: In keeping with the financial procedures that require the Executive Secretary to inform Parties of the status of their contributions at least twice a year, a report will be presented on the status of indicative contributions by Parties as at 15 May 2014 to

[99] The contribution of Working Group II to AR5 – on impacts, adaptation and vulnerability – is expected to become available on 29 March 2014. The contribution of Working Group III to AR5 – on mitigation of climate change – is expected to become available on 11 April 2014.

[100] FCCC/SBI/2013/20, paragraph 168.

[101] Decision 1.CP.17, paragraph 164.

[102] Decision 1/CP.17, paragraph 6.

[103] Decision 28/CP.19.

[104] FCCC/SBI/2012/15, paragraph 242.

[105] Further information is contained in document FCCC/SBI/2013/4.

the Trust Fund for the Core Budget of the UNFCCC and the Trust Fund for the International Transaction Log, and of voluntary contributions made to all the trust funds of the UNFCCC.

83. As at 2014, the United Nations system is implementing new accounting standards called International Public Sector Accounting Standards. So as to comply with these new standards, minor amendments to the current UNFCCC financial procedures are required. The secretariat has prepared revised financial procedures for consideration at SBI 40.

84. *Action*: The SBI may wish to express its appreciation to the Parties that have made timely contributions to the core budget and to those that have made voluntary contributions to the trust funds. The SBI may also wish to urge Parties that have not made their contributions to do so in a timely manner. The SBI will be invited to consider the proposed amendment to the UNFCCC financial procedures and to recommend a draft decision for consideration and adoption at COP 20.

FCCC/SBI/2014/INF.8	*Status of contributions as at 15 May 2014. Note by the secretariat*
FCCC/SBI/2014/INF.9	*Proposed revisions to the financial procedures for the Conference of the Parties, its subsidiary bodies and the secretariat required for the adoption of the International Public Sector Accounting Standards. Note by the secretariat*
Further information	*<www.unfccc.int/1065>*

(b) Continuing review of the functions and operations of the secretariat

85. *Background*: SBI 21 decided to review the functions and operations of the secretariat under this agenda sub-item annually.[106]

86. *Action*: The SBI will be invited to consider this matter and to make recommendations, as appropriate.

FCCC/SBI/2014/INF.4	*Report on efficiency gains made during the biennium 2012–2013. Note by the secretariat*
Further information	*<www.unfccc.int/1065>*

(c) Implementation of the Headquarters Agreement

87. The host government and the Executive Secretary will report on progress on the implementation of the Headquarters Agreement.[107] The SBI will be invited to consider this matter and to make recommendations, as appropriate.

Further information	*<www.unfccc.int/1065>*

(d) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

88. *Background*: CMP 8 took note[108] of the draft treaty arrangements[109] on the privileges and immunities for individuals serving on constituted bodies established under the Kyoto

[106] FCCC/SBI/2004/19, paragraph 105.
[107] FCCC/SBI/2002/17, paragraph 58.
[108] FCCC/KP/CMP/2012/13, paragraph 106.
[109] FCCC/SBI/2012/L.9.

Protocol that were forwarded by the SBI. It requested the SBI to continue its consideration of this matter and to report to CMP 9 on the outcome of its work.[110] SBI 39 agreed to defer the consideration of this sub-item to SBI 40.[111]

89. *Action*: The SBI will be invited to conclude its consideration and forward a recommendation to CMP 10.

(e) Privileges and immunities for individuals serving on constituted bodies established under the Convention

90. *Background*: COP 19 had referred this sub-item to the SBI for consideration.[112]

91. *Action*: The SBI will be invited to consider whether privileges and immunities for individuals serving on bodies established under the Convention may be required, taking into account the work undertaken on this issue for individuals serving on bodies established under the Kyoto Protocol, and to forward the outcome of its work to the COP.

19. Other matters

92. The Standing Committee on Finance will provide its periodic update to the SBI on the status of its work relating to the fifth review of the financial mechanism.[113] Any other matters arising during the session will also be taken up under this agenda item.

20. Report on the session

93. A draft report on the work of the session will be prepared for consideration and adoption by the SBI at the end of the session. The SBI will be invited to adopt the draft report and authorize the Rapporteur, Ms. Mabafokeng F. Mahahabisa (Lesotho), to complete the report after the session, under the guidance of the Chair and with the assistance of the secretariat.

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[110] FCCC/KP/CMP/2012/13, paragraph 107.
[111] FCCC/SBI/2013/20, paragraph 184.
[112] FCCC/CP/2013/10, paragraph 149.
[113] Decision 8/CP.18, paragraph 3.